

|   |                                      |                           |
|---|--------------------------------------|---------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br>(Use several sheets if necessary)<br><br><b>PTO Form 1449 (Modified)</b><br><b>List of References</b> | Attorney Docket No.<br>061165-0006US | Serial No.:<br>09/585,049 |
|   | Applicants<br>Waelbroeck             |                           |
|   | Filing Date<br>Jun 1, 2000           | Group<br>3624             |

|                              |
|------------------------------|
| <b>U.S. PATENT DOCUMENTS</b> |
|------------------------------|

| *Examiner<br>Initial |  | Document<br>Number | Date | Name | Class | Sub<br>Class | Filing Date |
|----------------------|--|--------------------|------|------|-------|--------------|-------------|
|                      |  |                    |      |      |       |              |             |
|                      |  |                    |      |      |       |              |             |
|                      |  |                    |      |      |       |              |             |
|                      |  |                    |      |      |       |              |             |

| FOREIGN PATENT DOCUMENTS |  |                    |      |         |       |              |                    |    |
|--------------------------|--|--------------------|------|---------|-------|--------------|--------------------|----|
|                          |  | Document<br>Number | Date | Country | Class | Sub<br>Class | <u>Translation</u> |    |
|                          |  |                    |      |         |       |              | YES                | NO |
|                          |  |                    |      |         |       |              |                    |    |
|                          |  |                    |      |         |       |              |                    |    |
|                          |  |                    |      |         |       |              |                    |    |
|                          |  |                    |      |         |       |              |                    |    |
|                          |  |                    |      |         |       |              |                    |    |
|                          |  |                    |      |         |       |              |                    |    |
|                          |  |                    |      |         |       |              |                    |    |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |  |   |
|--|--|---|
|  |  | EP Appln. No. 05729202 Supplementary European Search Report, 05-Feb-2008  |
|  |  | Domowitz I: "A Taxonomy of Automated Trade Execution Systems", Journal of International Money and Finance of Butterworth Scientific, Guildford, GB, vol. 12, 1993, pgs. 607-632 |
|  |  |   |

|  |                 |
|--|-----------------|
| Examiner   | Date Considered |
| Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |